

**Development and Application of the Newtrient Evaluation and Assessment Tool (NEAT):
A Methodology for Comparing Dairy Manure Treatment Technologies**

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Abstract

The U.S. dairy industry is voluntarily playing a critical role in improving dairy sustainability. In 2016, Newtrient, on behalf of the U.S. dairy industry, formed a Tool Assessment Team (TAT) comprised of dairy manure management academic and industry professionals. The TAT conducted a verification and catalog of available dairy manure treatment technologies (MMT); results are in Newtrient's online Technology Catalog. Catalog development revealed the need to establish a set of environmental and farm operational-based Critical Indicators (CIs) that collectively quantify a MTT's environmental and social impact on farm sustainability. The International Organization for Standardization development process was used as the basis to develop a novel evaluation methodology, called the *Newtrient Evaluation and Assessment Tool* (NEAT), for evaluating MMT types. Six specific CIs were selected based on key environmental based challenges/opportunities facing the dairy industry. The CIs were nitrogen recovery, phosphorus recovery, liquid manure storage requirements, greenhouse gas reduction, odor reduction, and pathogen reduction. A literature search was performed to evaluate 20 manure treatment technology types. A scoring system relative to the baseline condition of long-term anaerobic manure storage, was developed and applied to each technology and an appropriate relative score for each critical indicator was determined. The NEAT results are presented in an easy to understand dashboard called the *NEAT Matrix*. Results confirm that there is no single technology type that can address the six CIs but a combination of two or more strategically aligned solutions are possible.

Keywords: Sustainability, Manure management, Nutrients, Emissions, Manure storage, Pathogens

1. Introduction

The North America dairy industry is faced with unprecedented sustainability challenges – economic, environmental and social – in part due to the perceived or actual impacts dairy farms can have on the local,

46 regional and global environment. Most of the challenges stem from the storage and land application of
47 manure. Long-term storage of manure is a water quality best management practice (BMP) where stored
48 manure is recycled for crop production. However, long-term (anaerobic) manure storage produces gaseous
49 emissions that include methane, a greenhouse gas (GHG), ammonia-N, a precursor to formation of
50 atmospheric fine particulate matter, and various odorous emissions that can be offensive to farm
51 neighbors. Runoff and infiltration from fields that received manure organic matter and nutrients can occur,
52 with undesirable impacts on soils, water quality, air quality, and ecosystem imbalance. Impacts vary from
53 none to substantial from farm to farm but overall, society's perception of dairy farm operations and the
54 industry as a whole is affected.

55

56 The U.S. dairy industry is voluntarily playing a critical role in working "towards sustainability"
57 (Scott & Gooch, 2017). As a result of the consolidation of the dairy industry, manure treatment
58 technologies are being developed and marketed that target larger operations. The goal is to help farms
59 work on continuous improvement on sustainability issues while operating at large scale. Unfortunately,
60 the efficacy and economics of the treatment technologies are not always well established. As a result, the
61 relative costs and impacts are often difficult to compare, although its importance is realized. Future work
62 outside the purview of this study is planned for discussion and dissemination of technology costs.

63

64 Newtrient, on behalf of the U.S. dairy industry, formed a Technology Assessment Team (TAT) in
65 2016, comprised of a cross section of academic and industry professionals with in-depth expertise in dairy
66 manure management. One of the first tasks assigned to the TAT was to conduct systematic verification
67 and catalog dairy manure treatment technologies available in the United States. A two-year effort to
68 identify and evaluate available manure treatment technologies resulted in the Newtrient online

69 Technology Catalog (Catalog) with over 200 entries (Newtrient, 2018). The development of the catalog
70 confirmed the need for a method of comparing manure treatment technologies. Based on this need,
71 comprehensive research was conducted to determine if such a method exists. The research indicated the
72 lack of available performance criteria and comparable information. Acting on this from an opportunity
73 perspective, a project was undertaken that resulted in the development of a novel methodology for scoring
74 types of manure treatment technology for their impact on identified Critical Indicators (CIs). This process
75 is called the *Newtrient Evaluation and Assessment Tool (NEAT)*. The NEAT process was developed by
76 adapting the International Organization for Standardization (ISO) development process (ISO, 2018).

77

78 International Organization for Standardization, the world's largest developer of voluntary
79 international standards, facilitates world trade by providing common standards between nations.
80 Thousands of standards have been established covering everything from manufactured products and
81 technology to food safety, agriculture, and healthcare. The ISO standards aid in the creation of products
82 and services that are safe, reliable, and of good quality. The standards help businesses increase
83 productivity while minimizing errors and waste. By enabling products from different markets to be
84 directly compared, they facilitate companies entering new markets and assist in the development of global
85 trade on a fair basis. The standards also serve to safeguard consumers and the end-users of products and
86 services, ensuring that certified products conform to the minimum standards set internationally. The ISO
87 uses a simple, yet robust, process to develop standards (ISO, 2018b). This process provides a credible and
88 effective standardized method based on applying seven stages to the standard development process. The
89 stages are: 1) Preliminary, 2) Proposal, 3) Preparatory, 4) Committee, 5) Enquiry, 6) Approval, and 7)
90 Publication. The standard ISO process uses multiple large Working Groups (WG) to produce
91 documentation that is then sent to a Committee for review prior to publication as a standard (Fig.1).

92

The NEAT process was applied to 20 different types of dairy manure treatment technologies to quantify their impact on the six CIs that are most important to dairy industry continuous improvement on sustainability. These are nitrogen recovery, phosphorus recovery, impact on storage requirements, greenhouse gas reduction, odor reduction, and pathogen reduction. A scoring system, relative to the baseline condition of storing manure in a long-term (anaerobic) manure storage, was developed, applied to each technology type and an appropriate relative score for each CI was determined. The results, presented in an easy to understand dashboard called the *NEAT Matrix*, will be included in the Catalog. The objectives of this paper are to present: 1) the NEAT methodology developed by adapting the ISO standard development process to produce the *NEAT Matrix*; and 2) the results of the application of NEAT to 20 common types of dairy manure treatment technologies.

2. Methodology

2.1. NEAT development

The Newtrient TAT adapted the standard ISO process by using the team to serve as the WG and Committee. A major reason for the experts serving on both the WG and Committee was due to limited resources; no public funds were used for the work and the list of qualified experts in the field of dairy manure treatment technology is very limited.

Figure 1 shows the ISO Standard Development Process vs. Newtrient Process. The adapted methodology (Fig. 1) sought to strike a balance between driving progress through the combined tacit knowledge embedded in the TAT, the specific context arising from on-farm practice, the explicit knowledge informed by formal documentation and publications, and the consensus opinion of the TAT members. The NEAT methodology leveraged the existing knowledge and created new knowledge through

116 the engagement and evaluation process itself. In addition, this allowed progress to be made by not
117 demanding perfection, while transparently recognizing confidence levels, limitations, and potential gaps
118 the TAT would like to see closed in the future. The NEAT methodology embraced the four key principles
119 of ISO standard development:

- 120 1. Responding to a need in the market
- 121 2. Based on expert opinion
- 122 3. Developed through a multi-stakeholder process
- 123 4. Based on a consensus



Fig.1- ISO Standard Development Process vs Newtrient Process.

127 The TAT developed and utilized the following seven steps, adapted from the ISO Standard
128 Development Process, to evaluate the environmental impact each type of dairy manure processing
129 technology had based on six select CIs using long-term (anaerobic) manure storage as a baseline for
130 comparisons. The NEAT process utilized the ISO process, but removed the sharing of the WG in the
131 Preparatory Stage and expanded the sharing of the Working Drafts (WD) to the Enquiry Stage.

133 2.2. *Steps of the modified ISO*

134 2.2.1. *Preliminary critical indicator concept*

135

136 The *Preliminary Stage* consisted of conceptualization and clarification of how the TAT could best
137 respond to Newtrient board members, dairymen, regulators, and vendors requesting that Newtrient take
138 the value of the Catalog to the next level. It was recognized that, by evaluating the impact each type of
139 technology has on critical environmental and operational indicators and grouping the technologies by CI,
140 the dairy industry would have a resource for addressing specific issues that were affecting individual dairy
141 operations. At a high level, this resource will help dairy farmers evaluate manure treatment technologies
142 that will decrease their environmental footprint and increase the opportunity to create more value from
143 manure.

144

145 The discussion below provides details about each CI and rationale for inclusion in the initial release
146 of the Newtrient Critical Indicator Matrix. While there were certainly many indicators, the decision was
147 made to investigate the indicators that were of most concern to the industry from an operational and
148 environmental sustainability perspective. For the following highlighted reasons these CIs were identified:

149

- 150 1. Nitrogen recovery – The Federal judge’s ruling in *CARE v Cow Palace* (2015) in Washington
151 State determined that, for this farm, dairy manure stored in lagoons, used as fertilizer on fields and
152 composted, was considered a “solid waste” under the federal Resource Conservation Recovery
153 Act (“RCRA”) and posed an “imminent and substantial danger to public health and the
154 environment”, because of how the manure was managed. This decision and many other
155 groundwater, nutrient run-off, and air quality issues nationwide makes this a critical area of

156 concern. Because nitrogen is an essential plant nutrient, technologies have been evaluated relative
157 to their ability to “recover” nitrogen for beneficial use relative to long-term (anaerobic) manure
158 storage.

159 2. Phosphorus recovery – In areas of the country where there are significant issues with algal blooms
160 and total maximum daily loading (TMDL) limits set by the EPA, one of the key nutrients identified
161 is phosphorus. The regulations around phosphorus and the issues related to its regulation and use
162 makes this a key CI for the dairy industry. Because phosphorus is an essential plant nutrient,
163 technologies have been evaluated relative to their ability to “recover” phosphorus for beneficial
164 use relative to long-term (anaerobic) manure storage.

165 3. Liquid manure storage requirements – One of the key issues regarding on-farm implementation of
166 any manure management technology relates to the use of the final products and the ability to use
167 the contained nutrients in a proper way, utilizing the 4R Nutrient Stewardship framework
168 (Nutrientstewardship, 2018). Increased data are available showing that the frequency and intensity
169 of rainfall events directly correlates to the runoff of manure-derived nutrients. Greater storage
170 capacity facilitates more flexibility in applying manure to fields at appropriate times and rates,
171 making storage a CI.

172 4. Greenhouse Gas reduction – Efforts by retailers to quantify the GHG footprint of their supply
173 chain, along with a recent statute-California’s SB-1383 (2016) that mandates California reduces
174 its GHG emissions 40% below 2013 levels by the year 2030 – makes this a CI from all aspects of
175 dairy sustainability.

176 5. Odor reduction – Considerable concern related to odors has been expressed by communities and
177 neighbors of animal agricultural operations. Odor emissions from any animal agricultural facility
178 are very difficult to quantify, but significant progress has been made in quantifying the compounds

that are most offensive and applying standards to the odors around various types of facilities. This is a CI that applies to the acceptance and “social license to operate” for dairy operations around the country.

6. Pathogen reduction – In several areas there have been major issues related to runoff from dairy manure and water contamination. In the Pacific Northwest, valuable environmentally sensitive areas like the shellfish beds are prone to contamination, while in other areas of the country, there are issues with contaminated wells and drinking water supplies. Much like the nitrogen recovery indicator, the groundwater and nutrient runoff issues nationwide make pathogen reduction a critical area of concern.

The decision to approach the NEAT process as both a score and method of documenting the source as existing peer-reviewed literature, third party documentation, or expert opinion was based on the goal of creating a user-friendly dashboard that includes the six CIs, their scores, and the reliability of the sources that were used to determine their scores. After reviewing the resources available and the amount of effort that would be required to review and evaluate the more than 200 technologies in the Catalog, the decision was made to concentrate efforts on types of technology rather than on each individual technology. Technology category is used here to describe each group (more than one technology type) having the same operational concept or generating comparable products. For instance, primary solid-liquid separation is a category of technology types such as screw-press, sloped screen, rotary drum, etc. After considerable discussion, relating to effective consolidation, five technology categories comprising twenty technology types (Table 1) were defined based on the mechanism of operation and the resulting effect on the manure stream.

202 Table 1- Defined manure treatment technology categories and types evaluated for impact on critical
 203 indicators.

Technology Category	Evaluated Technology Types
Primary solid-liquid separation	<ul style="list-style-type: none"> - Centrifuge - Rotary screen - Screw press - Slope screen
Secondary solid-liquid separation	<ul style="list-style-type: none"> - Clean water membrane - Evaporative technologies - Ultrafiltration membrane
Physical and biochemical stabilization	<ul style="list-style-type: none"> - Active solids drying - Composting - Drum composter bedding
Nutrient recovery	<ul style="list-style-type: none"> - Surface aeration - Ammonia stripping - Chemical flocculation - Struvite crystallization - Nitrification/denitrification
Energy recovery	<ul style="list-style-type: none"> - Anaerobic digestion - Gasification - Hydrothermal Carbonization - Pyrolysis - Torrefaction

204

205 2.2.2. *Proposed critical indicator structure*

206 The TAT set out to confirm an evaluation of this sort was needed and drafted a scope of work for the
 207 CI evaluation that was modeled on an ISO New Work Item Proposal. Newtrient’s leadership needed to
 208 determine if there was a need to perform this exercise. At Newtrient’s request to the Innovation Center for
 209 U.S. Dairy, an initial literature review was conducted by Dr. Rajesh Chintala, Director of Nutrient
 210 Management & Stewardship. Dr. Chintala concluded that peer reviewed literature was often not available,
 211 easily sourced, or readily interpreted for many of the CIs. This indicated that the requested technology
 212 evaluation was indeed necessary and that adding this information to the Catalog would be of benefit to
 213 the industry.

214

215 Based on this confirmation, and the experience of team members in similar areas, Newtrient made the
216 decision to develop the *NEAT* process and to evaluate technology types based on peer reviewed
217 publications when available, third party documentation when available, and by bringing together experts
218 in the field of manure management to realize a consensus of qualified perspectives when other sources of
219 information were not available. The TAT made specific assignments to small teams with members
220 selected based on their areas of expertise.

221

222 *2.2.3. Scope of work and process documentation*

223 Having decided on this criterion for evaluating the technology types, Newtrient expanded on the
224 initial literature search to include third party reviewed articles and other published works and used the
225 findings from this broadened search to supplement the information previously collected. For this,
226 Newtrient contracted with Green Insights (www.greeninsights.net/), an environmental research company,
227 for assistance with this effort. Literature review outputs were reviewed by small teams made up of the
228 TAT as well as development of a score recommendation for each CI. This constituted the *Preparatory*
229 *Stage* of the process.

230

231

232 *2.2.4. Preliminary committee review of indicators*

233 Following the Green Insights literature review and small team efforts, the TAT was assembled to
234 review the findings and scoring recommendations presented by the small teams and reach a consensus
235 opinion on the NEAT scores for each technology type. TAT members commented and voted on the draft
236 NEAT scores using a general scale of low, medium and high and whether the effect was positive or

237 negative. When necessary, drafts were tabled until consensus by greater than a two-thirds vote was reached
238 on the technical content.

239

240 In addition, the TAT discussed and determined the next steps of the project, which included:

- 241 1. Discussed if the report would be available for public comments before it was finalized. Pros
242 include increases the alignment of our process with ISO; ability to enhance the content; makes the
243 final product more robust; and increases process transparency. Cons include adds time to the
244 process and requires additional resources to track and manage the review of the comments.
- 245 2. Discussed proper explanation of how dryers, torrefaction, gasification, and pyrolysis impact
246 nitrogen recovery, phosphorus recovery, storage reduction, and GHG reduction related to
247 regulatory, output and value proposition.
- 248 3. Decided that differentiating criteria scoring based on flush, scrape, and dry lot collection systems
249 was not realistic given the research available, although we realize that collection system affects
250 manure characterization, flow rate, costs, and potentially performance and downstream impacts.
- 251 4. Decided that each technology type would be scored based on the references related to the effect of
252 the individual component and not a larger combined system.
- 253 5. Decided that due to the extreme variability within technology types, Capital Expenditures (CapEx)
254 and Operating Expenditures (OpEx) would not be included in the scoring, because it does not yield
255 meaningful guidelines for dairy farmers.
- 256 6. Agreed on the following assumptions:
 - 257 a. The process and scoring would be focused on the output of the specific type of technology
258 and not the entire system in the several cases where the technology cannot operate in a

stand-alone fashion. Only immediate impacts were considered. This study does not attempt life cycle assessment.

b. Post-process materials would be handled in a way that does not impact or affect the NEAT scoring.

c. Dryers, torrefaction, gasification, and pyrolysis would have appropriate emission control components and the purpose of these technologies is to dry wet solids.

7. Decided to group flocculation/polymer and coagulation technologies as a single technology type and those technology types that require these additives for operation were rated based on their inclusion.

8. Decided that for those technology types that require coarse solids separation, (e.g., rotary drum or screw press), before a composter/bedding recovery technology, we will include a comment regarding the inclusion of coarse solids separation on the front side and odor-reduced aspect of the end-product, while acknowledging the unintended consequence of odor from the process.

9. Decided to include a summary of required air control devices to address odors for evaporative and drying technology types.

10. Evaluations assumed that technologies and processes will be designed, implemented, and operated correctly.

11. Decided that appropriate comments to the unique characteristics of membrane technology type and explanations regarding impact on storage, pathogens, and nitrogen and phosphorus recovery would be included in the technology summary.

12. Decided to reach out to individual experts to gather their insights and recommendations related to our findings. The committee would make final decisions regarding all scores and the final content of the published results.

13. The final draft report should be reviewed by a team of outside experts prior to incorporation into the Catalog and public comment may be solicited for a designated period after publication and before the document is finalized.

The TAT reviewed the recommendations of the small groups to confirm the comments and scores for each CI. If peer reviewed literature was cited, the recommendation was based on that literature unless there were conflicting sources. If there was no peer reviewed literature, but there was third party validated data, the recommendation was based on the third-party documentation. In all other cases, the CI score was assigned based on the consensus opinion of the TAT.

Once the TAT completed assigning scores to all the technology types for each CI, the process of reviewing, improving, and writing the final documentation was undertaken and described as below. The goal of this effort and the rest of the *Enquiry Stage* of the process was to complete documentation of the entire process as well as to finalize the NEAT dashboard (Fig. 2).

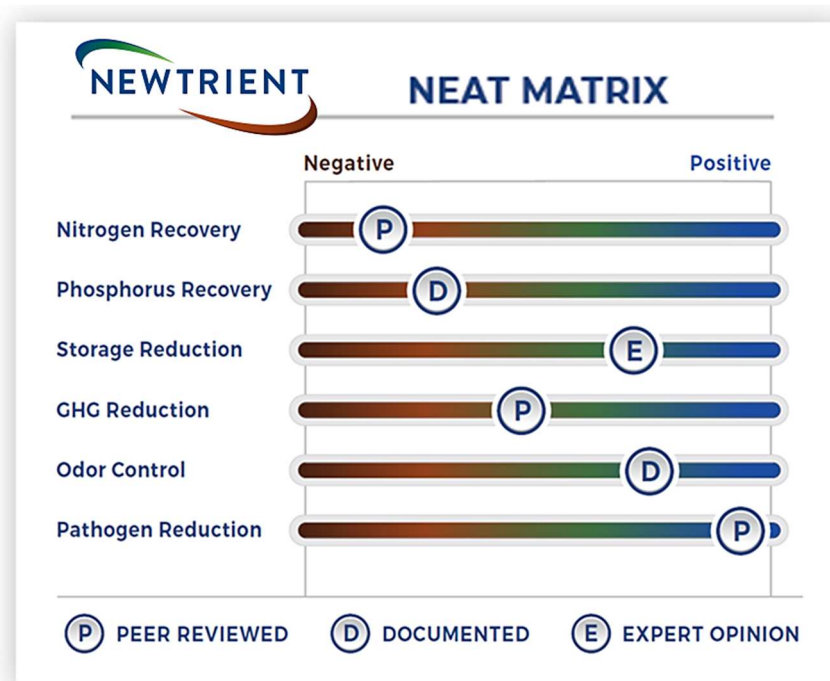


Fig. 1- Generic example of the NEAT Matrix.

2.2.5. *Additional literature and documentation*

The documents were then composed, and the scoring rationale was refined in a series of NEAT reports. These reports were assigned to a small team of members for review, discussion, and dialogue.

The process included:

a) Draft critical indicator report stage

A draft NEAT report of each technology type was written. It included a dashboard, a table of results, an overall summary of the technology, the reason for the NEAT score, and selected references.

b) Critical indicator report review stage

The draft NEAT report of each technology type was then assigned to a small group for editing, additions, and review.

c) Draft document stage

All the final NEAT documents were then compiled and added to a full technical document that detailed the process and methodology of this study in the final draft NEAT report.

d) Document review stage

The final draft NEAT report was shared with the TAT for final review.

2.2.6. *Outside review and final approval*

The final draft NEAT report, approved by the TAT, was then shared with a select group of independent reviewers for a final review, discussion, and dialogue regarding edits. The *Approval Stage* of the process included all technical, formatting, and grammatical changes to the final draft NEAT report.

320 The TAT was the final authority to determine the accepted refinements. All refinements required a 2/3
321 majority vote of the TAT with no sustained objections.

322

323 2.2.7. *Publication of critical indicators*

324 The TAT then submitted the final NEAT report to the Newtrient CEO for approval to incorporate the
325 *results* into the Catalog. The Publication Stage was initiated by the TAT releasing the final document for
326 incorporation into the Catalog.

327

328 3. Results

329 The NEAT matrix developed for each technology type is shown in the supplementary material. For
330 most of the studied technology types, all values were calculated as a percentage, positive, negative or
331 neutral, relative to a baseline condition of manure stored in a long-term (anaerobic) manure storage. For
332 the types of technology that treat the solid fraction, the baseline was the direct use of separated solids as
333 a soil amendment. A summary of the results of the literature search and technical assessments is provided
334 in Table 2. Table 2 also shows key references that could be used in developing the indicator matrix. These
335 references provide more details about the operational concepts and the application of each technology
336 type.

337 Table 2- Summary of the application NEAT to 20 dairy manure types of treatment technology for six critical indicators. Values shown
 338 with a “+” indicates a positive change for the indicator while those with a “-” indicates a negative (reduced) change.
 339

Technology Type	Nitrogen recovery (%)	Phosphorus recovery (%)	Storage reduction (%)	GHG reduction (%)	Odor control (%)	Pathogen reduction (%)	Key References
Active Solids Drying	Low +	Neutral	High +	Medium +	High +	High +	Roos (2008); Fushimi et al. (2010); Schoumans, Rulkens, Oenema, & Ehlert (2010); Rehl & Müller (2011); Fuchs & Drosch (2013); Delele, Weigler & Mellmann (2015); Drosch, Fuchs, Al Seadi, Madsen & Linke (2015); Hamilton et al. (2016); Yang, Hao, & Jahng (2017).
Ammonia Stripping	Medium +	Neutral	Neutral	Neutral	Medium +	High +	Bonmati & Flotats (2003); Alitalo, Kyrö, & Aura (2012); Jiang et al. (2014); Drosch et al. (2015); Wallace, Budaj & Safferman (2015); Zhao et al. (2015); Ukwuani & Tao (2016); Vaneeckhaute et al. (2017); He et al. (2018); Frear, Ma & Yorgey (2018).

Anaerobic Digestion	Low -	Neutral	Neutral	High +	High +	High +	Welsh, Schulte, Kroeker, & Lapp (1977); Pain, Misselbrook, Clarkson & Rees (1990); Wright, Inglis, Stehman, & Bonhotal (2003); Topper, Graves & Richard (2006); Gooch, Pronto & Labatut (2011); Massé, Talbot & Gilbert (2011); Möller & Müller (2012); Summers & Williams (2013); Page et al. (2014); Owen & Silver (2015); Holly, Larson, Powell, Ruark & Aguirre-Villegas (2017).
Centrifuge	Low +	Medium +	Low +	Medium +	Low +	Neutral	Møller, Hansen & Sørensen (2007); Hjorth, Christensen, Christensen & Sommer (2010); Neerackal et al. (2015); Hamilton et al. (2016); Holly et al. (2017); Liu, Carroll, Long, Roa- Espinosa & Runge (2017); Frear et al. (2018).
Chemical Flocculation	Medium +	High +	Low +	High +	Medium +	Neutral	Fangueiro, Senbayran, Trindade & Chadwick (2008); Garcia, Szogi, Vanotti, Chastain & Millner (2009); Hjorth et al. (2010); Neerackal et al.

							(2015); Liu, Carroll, Long, Gunasekaran & Runge (2016); Bronstad, Frear, Yorgey & Benedict (2017); Holly et al. (2017); Frear et al. (2018).
Clean Water Membrane	High +	High +	Medium +	Low +	Neutral	Neutral	Wong et al. (2009); Chiumenti, da Borso, Chiumenti, Teri & Segantin (2013a); Pauls (2014); Drosch et al. (2015); Budaj (2016); Bolzonella, Fatone, Gottardo & Frison (2018); Frear et al. (2018).
Composting	Low -	Neutral	Low +	Low +	Low +	High +	Bradley, A. J., Leach, K. A., Archer, S. C., Breen, J. E., Green, M. J., Ohnstad, I., & Tuer, S. (2014); Harrison, E., J. Bonhotal, & M. Schwarz. (2008); Larney, F. J., Sullivan, D. M., Buckley, K. E., & Eghball, B. (2006); Michel Jr, F. C., Pecchia, J. A., Rigot, J., & Keener, H. M. (2004); Misselbrook, T. H., & Powell, J. M. (2005); Spencer, R. (2016); SUSCON (2017).

Drum Composter Bedding	Low -	Neutral	Low +	Low +	Low +	High +	Michel, Pecchia, Rigot & Keener (2004); Larney, Sullivan, Buckley & Eghball (2006); Harrison, Bonhotal & Schwarz (2008); Bradley et al. (2014); Spencer (2016).
Evaporative Technologies	High +	High +	Medium +	Medium +	High +	High +	Hjorth et al. (2010); Flotats et al. (2011); Chiumenti et al. (2013a); Fuchs & Drosig (2013); Guercini, Castelli & Rumor (2014); Drosig et al. (2015); Vondra, Máša, & Bobák (2016); Vondra, Masa & Bobak (2018).
Gasification	High -	High +	High +	High +	High +	High +	Priyadarsan, Annamalai, Sweeten, Mukhtar & Holtzapple (2004); Cantrell, Ro, Mahajan, Anjom & Hunt, (2007); Ro, Cantrell, Elliott & Hunt (2007); Hamilton et al. (2016); Hou, Velthof, Lesschen, Staritsky & Oenema (2016); Pelaez-Samaniego et al. (2017).
Hydrothermal Carbonization	Medium -	High +	Medium +	High +	Medium +	High +	Heilmann et al. (2014); Acharya, Dutta & Minaret (2015); De Mena Pardo, Doyle, Renz & Salimbeni

							(2016); Toufiq Reza et al. (2016); Bakri, Iwabuchi, Ito & Taniguro (2017); Dia et al. (2017); Wu et al. (2017); Wu, Zhang & Yuan (2018).
Nitrification Denitrification	High -	Medium +	Neutral	High +	High +	Medium +	Willers, Derikx, Ten Have, & Vijn (1996); Bèline & Martinez, (2002); Obaja, Mace, Costa, Sans, & Mata-Alvarez (2003); Vanotti, Millner, Hunt & Ellison (2005); Vanotti, Szogi, Millner, & Loughrin (2009); Li et al. (2012); Riaño & García-González (2014); García-González et al. (2016); Xu, Adair, & Deshusses (2016); Yang, Deng, Zheng, Wang, & Liu (2016); Lia, Zhao, Pan & Mitloehner (2018).
Pyrolysis	High -	High +	High +	High +	High +	High +	Massie (1972); Shinogi & Kanri (2003); Cantrell et al. (2007); Lehmann & Joseph (2009); Cantrell, Hunt, Uchimiya, Novak & Ro (2012); Kumar & Nanda (2016); Hamilton et al. (2016); Hou et al. (2016); Pelaez-Samaniego et al. (2017).

Rotary Screen	Low +	Low +	Low +	Low +	Low +	Neutral	Forbes, Easson, Woods & McKerverey (2005); Fangueiro et al. (2008); Hjorth et al. (2010); Neerackal et al. (2015); Hamilton et al. (2016); Holly et al. (2017); Ma, Neibergs, Harrison & Whitefield (2017)
Screw Press	Low +	Low +	Low +	Medium +	Low +	Neutral	Møller, Lund & Sommer (2000); Møller, Sommer & Ahring (2002); Forbes et al. (2005); Fangueiro et al. (2008); Hjorth et al. (2010); Neerackal et al. (2015); Hamilton et al. (2016); Holly et al. (2017).
Slope Screen	Low +	Low +	Low +	Medium +	Low +	Neutral	Zhang & Westerman (1997); Chastain, Vanotti, & Wingfield (2001). Hjorth et al. (2010); Frear, Wang, Li & Chen (2011); Cocolo (2014); Neerackal et al. (2015); Hamilton et al. (2016); Frear & Yorgey (2017); Zhang (2017).

Struvite Crystallization	Low +	High +	Neutral	Neutral	Neutral	Low +	Zhang, Bowers, Harrison, & Chen (2010); Rico, García & Rico (2011); Shen, Ogejo & Bowers (2011); Hilt et al. (2016); Tao, Fattah & Huchzermeier (2016); Frear et al. (2018). Amon, B., Kryvoruchko, V., Amon, T., & Zechmeister-Boltenstern, S. (2006); Martinez, J., Guiziou, F., Peu, P., & Gueutier, V. (2003); Ndegwa, P. M., Wang, L., & Vaddella, V. K. (2007); Ndegwa, P. M. (2003); Westerman, P. W., & Zhang, R. H. (1997); Zhang, Z., & Zhu, J. (2005); Zhang, Z., Zhu, J., & Park, K. J. (2006)
Surface Aeration	Low +	Neutral	Neutral	Medium +	High +	Neutral	Heilmann et al. (2014); Acharya, Dutta & Minaret (2015); De Mena Pardo, Doyle, Renz & Salimbeni (2016); Toufiq Reza et al. (2016); Bakri, Iwabuchi, Ito & Taniguro (2017); Dia et al. (2017); Wu et al. (2017); Wu, Zhang & Yuan (2018).
Torrefaction	Medium +	High +	Medium +	High +	High +	High +	

Ultrafiltration Membrane	Medium +	High +	Medium +	High +	Low +	High +	Wong et al. (2009); Bolzonella et al. (2018); Chiumenti, da Borso, Teri, Chiumenti & Piaia (2013b); Wallace et al. (2015); Safferman et al. (2017); Frear et al. (2018).
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341 **4. Discussion**

342 The goal of this research was to develop a novel method to score types of manure treatment
343 technology for their impact on environmental sustainability and share the outcomes with dairy farmers
344 and their key advisors via the Newtrient Catalog. This will enable dairy farmers and stakeholders to make
345 better informed decisions regarding their investments and improve their ability to make progress in
346 addressing the critical areas that are identified.

347

348 The study and documentation have been valuable in setting the groundwork for providing
349 information to the dairy industry and animal agriculture at large. One of the lessons learned is the
350 incredible lack of information available for evaluating technology efficacy particularly in peer reviewed
351 journals. Clearly, to identify the technologies that exist or are developed to solve the problem of negative
352 environmental impact of animal agriculture, industry in consultation/involvement with academia will need
353 to find ways to fund and encourage this type of research. It is the hope of the authors that the detailed
354 results of this work can become a resource for researchers to identify areas that need study. Targeting
355 these topics for undergraduate and post-graduate projects will prepare students for degree worthy efforts
356 while contributing valuable information to the industry.

357

358 This work confirmed the previously held opinion that there is no single type of technology
359 available that will meet all the needs identified by these CIs. Often the specific issue to be addressed
360 requires multiple “steps” to achieve the desired outputs. This requires a systems-based approach that
361 integrates many technologies. One of the biggest challenges faced by farmers is finding financial and
362 technical resources to bring all these pieces together. This is particularly true because many times the
363 introduction of a new technology results in unexpected consequences for an operation. Trade-offs must

364 then be made that can affect the economics of a project and impact operations in ways that may not be
365 apparent. The development and study of integrated manure management systems is an area in need of
366 additional research.

367

368 One aspect that made this study challenging was the diverse regional manure management
369 practices that at first seem inconsequential but can have a significant impact on what technologies can be
370 used and, in some cases, if a technology is required at all. In the arid areas of the southwest the need for
371 driers, except for a few weeks of the year, is non-existent. In these areas, solar drying in thin layers could
372 prevent the formation of GHGs and reduce transportation costs considerably. At the same time, in the
373 Pacific Northwest where some areas receive over seven feet of rainfall per year, dewatering and drying
374 any material requires it to be transported, increasing the release of GHG emissions and the cost of
375 transportation. An additional and very important variable relates to the diversity of manure management
376 approaches utilized on U.S. dairy farms. Dairy farms implement a variety of approaches that produce a
377 range of manure outputs, from extremely dilute liquids to dry solids, each at times containing both organic
378 and inert bedding. As such, the form of the manure has important performance and economic impacts to
379 the technologies being reviewed, requiring future Newtrient work to differentiate the matrix by manure
380 management type and its manure output form.

381

382 The most significant issues related to the implementation of manure management technologies are
383 the economics. Frequently, the cost of producing a product from manure is significantly higher than the
384 cost of producing a comparable product from an alternative source. The logistics of nutrient management
385 and nutrient recycling in modern agriculture are significantly more difficult than using commercial
386 fertilizer because the use of the nutrients in the form of cattle feed and the production of the feed can be

separated by considerable distances. In an ideal situation, forage and concentration production for the dairy would be co-located near or on the farm to minimize hauling and maximize the use of recycled nutrients in systems that mimic the cycles found in nature. But in many circumstances other factors prevent this from happening leading to a difficult conflict between the need for the nutrients and the proper utilization of those remaining after initial use.

Product development is also an area that presents challenges because all too often the products produced from manure are competing with commodity products that are supported by industries that have had ample time and resources to drive down their costs of production and to leverage their market positions in ways that discourages competition on a smaller scale or regional basis. Furthermore, standardization of the characteristics of the products developed from manure is another research area that needs significant attention of researchers and stakeholders. Such standardization will help in reducing the costs of storage and handling of manure products.

A final area that impacts technology development and deployment is the regulatory environment. Conflicting regulatory goals can send mixed signals to vendors and operators and can often result in a “wait and see” attitude that allow problems to exist far longer than they would in the case of a clear regulatory direction. Hopefully this document will serve as a resource for the regulatory community to understand the complexity and technological expertise required to implement successful projects to address operational and environmental problems.

5. Conclusion

This study has produced a new resource for industry, regulators, academia, and anyone interested in reducing the environmental impact of animal agriculture in North America and other countries. The

411 collection of the technologies in the Catalog as well as the evaluation of the CIs using the NEAT process
412 creates a “one-stop shop” for identifying potential solutions to specific environmental and operational
413 problems and opportunities for capturing value from manure. Applying the NEAT matrix clearly indicates
414 there is no single type of technology available that meets all the environmental and social needs identified
415 by these indicators. The collaborative efforts of academia and industry in communicating new research
416 and providing updated information will be an on-going effort of the authors. Regular reviews of the
417 materials and referenced works will be scheduled as part of the Newtrient Technology Catalog’s ongoing
418 maintenance. Future research should be conducted on evaluating the selected technology types based on
419 their costs and life cycle assessment.

420

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422

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428

429 **Declarations of interest**

430 Craig Frear is a principle of BEST, LLC. Dr. Frear is a Board member of the American Biogas
431 Association.

432

433 Curt Gooch declares that he has no conflict of interest.

434

435 Mark Stoermann holds a patent within this technology sector which is held in ownership within an LLC
436 for which he is a member. Mr. Stoermann is a Board member of the American Biogas Association.

437

438 Garth Boyd declares that he has no conflict of interest.

439

440 Jerry Bingold declares that he has no conflict of interest.

441

442 Rajesh Chintala declares that he doesn't have conflict of interest.

443

444 Dana Kirk is a paid technical advisor for GGP America and Newtrient and has ownership in Silvernail
445 Consulting, Inc. and SKS Development, LLC.

446

447 Elijah Smith declares that he has no conflict of interest.

448

449 James Wallace declares that he has no conflict of interest.

450

451 Matt Sutton-Vermeulen declares that he has no conflict of interest.

452

453 Hamed El Mashad declares that he has no conflict of interest.

454

455 Frank Mitloehner declares that he has no conflict of interest.

456

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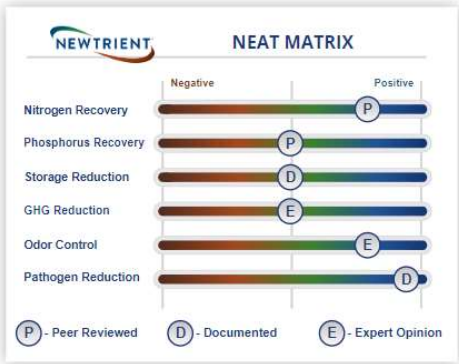
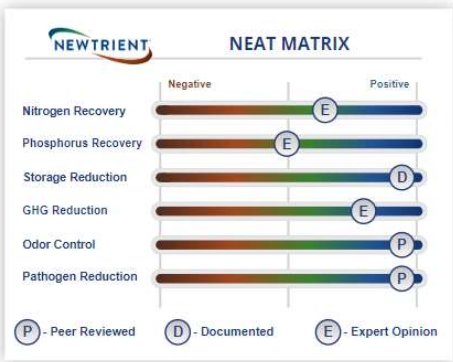
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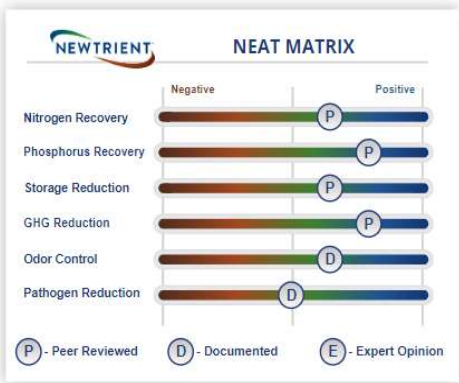
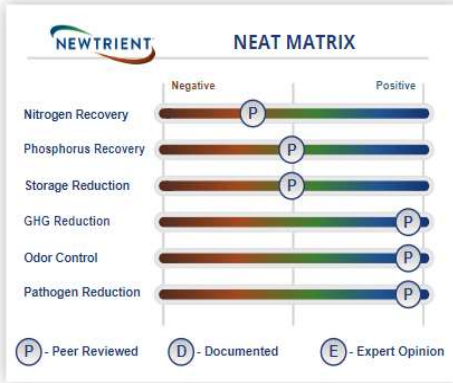
815 **Supplementary material**



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817 **Active solids drying**

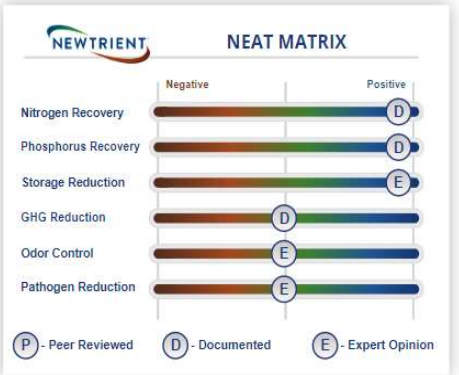
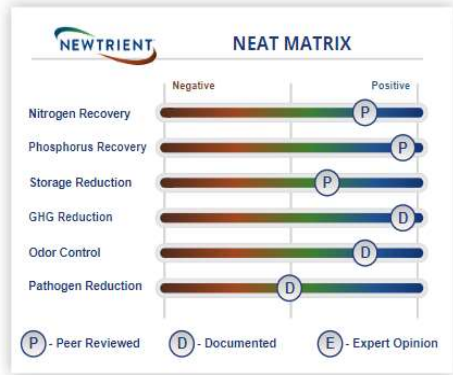
Ammonia stripping



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819 **Anaerobic digestion**

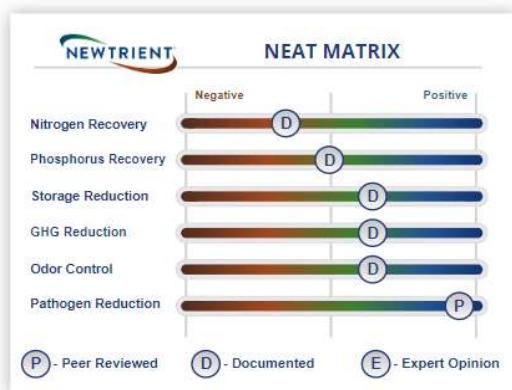
Centrifuge



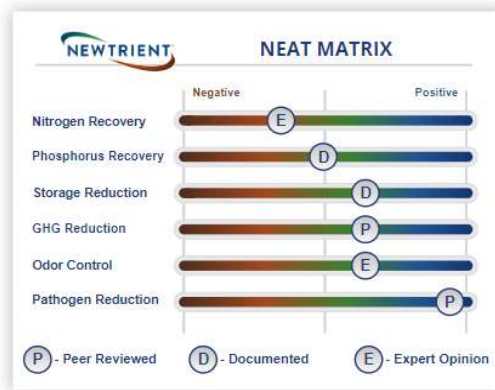
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821 **Chemical flocculation**

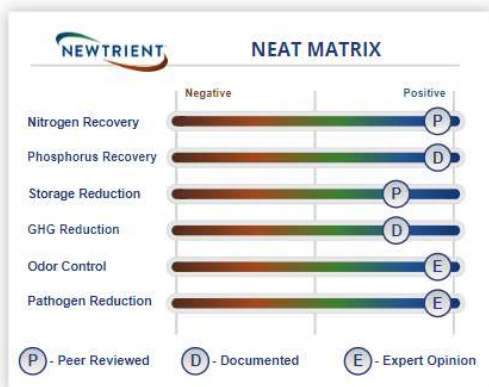
Clean water membrane systems



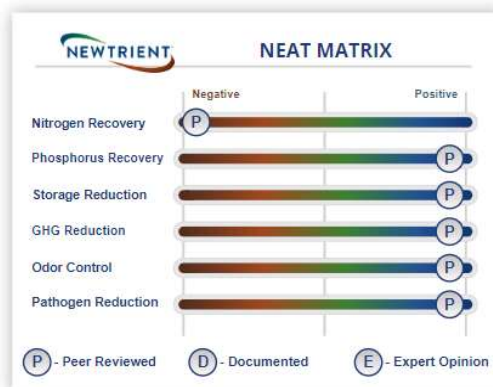
Composting



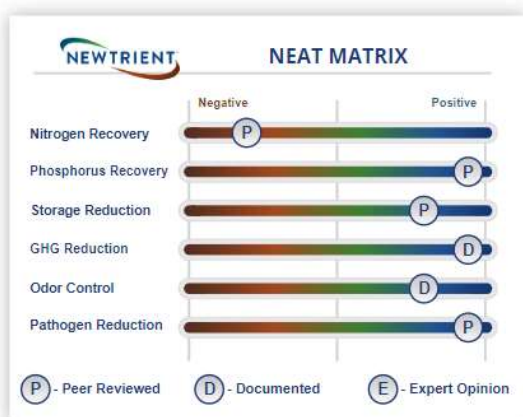
Drum composter / Bedding recovery



Gasification



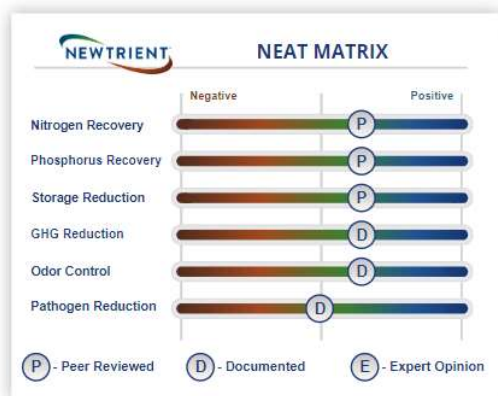
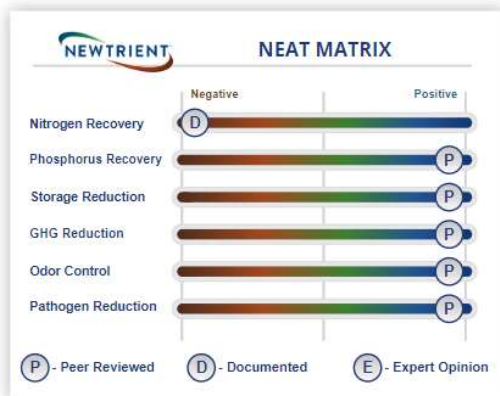
Evaporative technologies



Hydrothermal carbonization

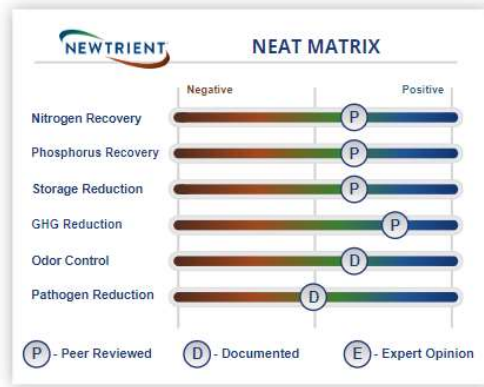
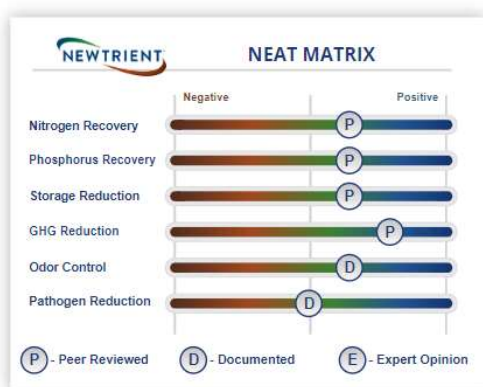


Nitrification/Denitrification



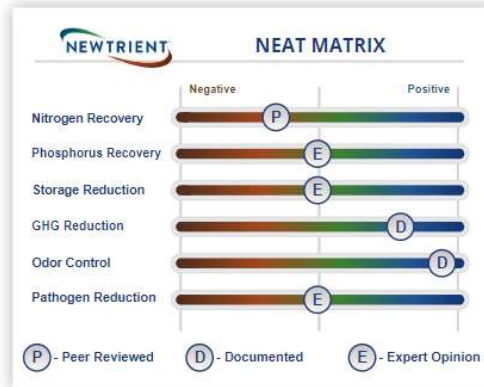
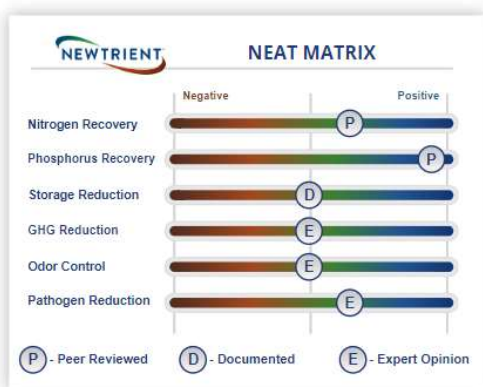
Pyrolysis

Rotary screen separator



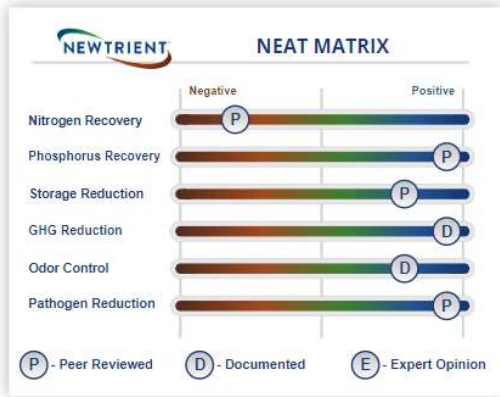
Screw press separator

Slope Screen separator

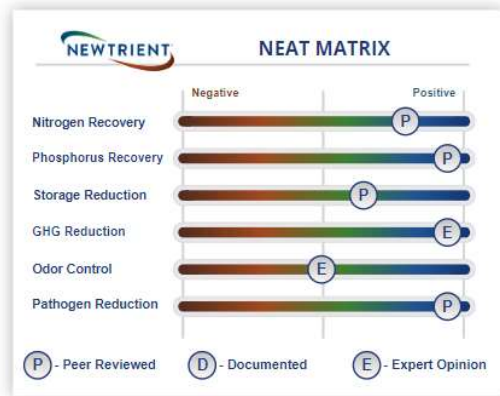


Struvite Crystallization

Surface Aeration



Torrefaction



Ultrafiltration membrane